## APPLICATION FOR NEIGHBORHOOD-RESTRICTED SPECIAL ON-SALE GENERAL LICENSE (TYPE 87)

**APPLICANT INFORMATION:** Complete and submit this form only after thoroughly reviewing form <u>ABC-522</u> <u>INSTRUCTIONS</u>. Applicants must also submit a certified check, cashier's check, or money order in the amount of the application fee. It is the applicant's responsibility to ensure that their priority application is complete and received by the department within the noticed priority application period. If the applicant is not a sole owner, they must submit the appropriate attachment as described in Item 10 to have a complete application. Any priority application received by the department that is incomplete or untimely shall be disqualified.

The census tracts listed in subdivisions (b)(1) and (b)(6) of the Business and Professions code (BPC) § 23826.13 are currently full, so the department will not be accepting applications for those neighborhoods. Additionally, if the department receives more applications than the number of licenses available for a neighborhood, the applicants will be placed in a random drawing to determine the order in which applications will be processed for that neighborhood.

## Applications will be disqualified if items 2-9 are incomplete.

1. Date (mm/dd/yyyy)

2. **Applicant Name** (If an individual: first name, middle name, last name. If a general partnership, limited partnership, corporation, non-profit, limited liability company, or trust: name of entity)

3. Contact Name (first, last)

4. Contact Phone Number

## 5. Contact e-mail Address

## 6. Mailing Address

Street Number and Name

City, State, and Zip Code

FOR ABC USE ONLY

Pending License Number:

Total Pages:

## APPLICATION FOR NEIGHBORHOOD-RESTRICTED SPECIAL ON-SALE GENERAL LICENSE (TYPE 87) (continued)

10. Applicant Details (Check the appropriate box)			
$\Box$ I am a <b>Sole Owner</b> and will provide my information in t	he line below:		
Name (first, middle, last)	DOB (mm/dd/yyyy)	SSN # (last 4)*	
$\Box$ I am <b>NOT a Sole Owner</b> and will provide my informatic	on on the appropriate attachment for my	v entity type:	
General Partnership – Applicant must complete an	d submit <u>Form ABC-522-ATT-B</u> .		
General Partnership Names (separated by commas	»):		
□ Limited Partnership – Applicant must complete and	d submit <u>Form ABC-522-ATT-C</u> .		
Limited Partnership Name:			
□ Corporation or Non-Profit – Applicant must comple	ete and submit Form ABC-522-ATT-D.		
Corporation or Non-Profit Name:			
Limited Liability Company – Applicant must comp	lete and submit <u>Form ABC-522-ATT-E</u> .		
Limited Liability Company Name:			
□ <b>Trusts</b> – Applicant must complete and submit Form	ABC-522-ATT-F.		
Trust Name:			
8. Read and Acknowledge items 8a - 8c by initializing in the	ne provided spaces.		
8a Applicant acknowledges that if a drawing is re- defined) shall be required for participation.	quired for Type 87 licenses, that proof o	of residency (as	
8b Applicant <b>certifies that they have no interes</b> acknowledges that any changes in ownership or interest may be grounds for disqualification from the Type 87 lice	in the applicant entity made after the applicant		
8c Applicant acknowledges that they bear the bur complete and received by ABC within the noticed priority untimely the application will be deemed disqualified, and	drawing application period. If the applic	cation is incomplete or	

## 9. Applicant Signature

I read all of the above and declare under penalty of perjury that all statements are true and correct.

drawing, pursuant to Title 4 California Code of Regulations section 69.2 (c).

**Applicant Signature** 

Printed Name and Title

Date Executed

# APPLICATION FOR NEIGHBORHOOD-RESTRICTED SPECIAL ON-SALE GENERAL LICENSE (TYPE 87) (continued)

#### **ITEM INSTRUCTIONS:**

Item 1 (Date) – Input today's date (mm/dd/yyyy).

**Item 2 (Applicant Name)** – Enter the name that would appear on the ABC license. If the applicant is an individual, enter first name, middle name, and last name. If the applicant is a general partnership, limited partnership, corporation, non-profit, limited liability company, or trust, enter the name of the entity.

Item 3 (Contact Person Name) - The first and last name of the person best to provide for clarification and details.

Item 4 (Contact Phone Number) – The phone number of the person best to provide for clarification and details.

Item 5 (Contact e-mail Address) – The e-mail address of the person best to provide for form clarification and details.

**Item 6 (Mailing Address)** – The applicant's full mailing address, including street number and name, city, state, and zip code.

Item 7 (Applicant Details) - Select the appropriate box for the applicant (potential Licensee) type.

If Sole Owner, enter first name, middle name, and last name, date of birth, and last four digits of social security number\* or driver's license # or state-issued ID # or passport #.

If not a Sole Owner, enter the name of the entity and complete the corresponding Attachment. Ensure information is accurate and spelling matches all previously filed correspondence with any other government entity.

- If Applicant is a sole owner, enter first name, middle name, and last name, date of birth, and last four digits of social security number\* or driver's license # or state-issued ID # or passport #.
  - Complete and submit this ABC-522 form only.
- If Applicant is a general partnership, enter name(s).
  - Complete and submit this ABC-522 form.
  - Complete and submit the <u>ABC-522-ATT-B</u>.
- If Applicant is a limited partnership, enter name.
  - Complete and submit this ABC-522 form.
  - Complete and submit the ABC-522-ATT-C.
- If Applicant is a corporation or non-profit, enter name.
  - Complete and submit this ABC-522 form.
  - Complete and submit the <u>ABC-522-ATT-D</u>.
- If Applicant is a limited liability company, enter the name.
  - Complete and submit this ABC-522 form.
  - Complete and submit the <u>ABC-522-ATT-E</u>.
- If Applicant is a trust, enter name.
  - Complete and submit this ABC-522 form.
  - o Complete and submit the ABC-522-ATT-F.

Item 8 (Read and Acknowledge) – Read and initial items 8a-8c.

Item 9 (Applicant Signature) – Sign, print name and title, and date.

## APPLICATION FOR NEIGHBORHOOD-RESTRICTED SPECIAL ON-SALE GENERAL LICENSE (TYPE 87) – CORPORATION OR NON-PROFIT (ATTACHMENT D)

**APPLICANT INFORMATION:** This form is intended as an attachment to the <u>ABC-522</u> form. This is not a standalone priority application. You must complete and submit this attachment along with your completed priority license application.

## 1. Corporation Name

### 2. Corporation CA Secretary of State ID #

#### 3. List All Officers and Directors

*Individuals:* Complete Printed Name, DOB, and SSN **or** Driver's License **# or** State-Issued ID **# or** Passport **#**. *Entities:* Complete Printed Name, Date of Incorporation **or** Establishment of the Entity, and CA Secretary of State ID **#**. If the officer or the director is a **trust**, provide the trustee information.

(Write **N/A** in each row where the column does not apply.)

Printed Name	DOB (mm/dd/yyyy)	SSN (last 4) <b>or</b> Driver's License # <b>or</b> State-Issued ID # <b>or</b> Passport #	Date of Incorporation <b>or</b> Establishment of the Entity (mm/dd/yyyy)	CA Secretary of State ID #

#### 4. List All Stockholders (must total to 100%)

*Individuals:* Complete Printed Name, DOB, and SSN or Driver's License # or State-Issued ID # or Passport #. *Entities:* Complete Printed Name, Date of Incorporation or Establishment of the Entity, and CA Secretary of State ID #. If the stockholder is a **trust**, provide the trustee information.

(Write **N/A** in each row where the column does not apply.)

Stockholder Name	DOB (mm/dd/yyyy)	SSN (last 4) <b>or</b> Driver's License # <b>or</b> State-Issued ID # <b>or</b> Passport #	Date of Incorporation or Establishment of the Entity (mm/dd/yyyy)	CA Secretary of State ID #	Ownership %

## APPLICATION FOR NEIGHBORHOOD-RESTRICTED SPECIAL ON-SALE GENERAL LICENSE (TYPE 87) – CORPORATION OR NON-PROFIT (ATTACHMENT D) (continued)

#### **ITEM INSTRUCTIONS:**

Item 1 (Corporation Name) - Enter the name of the corporation or non-profit.

Item 2 (Corporation CA Secretary of State ID #) – Enter the corporation or non-profit state business identification number from the Secretary of State.

### Item 3 (List All Officers and Directors)

*Individuals:* Complete Printed Name and Title, DOB, and SSN or Driver's License # or State-Issued ID # or Passport #. *Entities:* Complete Printed Name, Date of Incorporation or Establishment of the Entity, and CA Secretary of State ID #. If the officer or the director is a **trust**, provide the trustee information.

(Write **N/A** in each row where the column does not apply.)

- Printed Name The full legal name of the person or entity
- DOB The date of birth if the officer or the director is an individual (not required for an entity)
- SSN (last 4) or Driver's License # or State-Issued ID # or Passport # The last four digits of their social security number (SSN) or driver's license or state-issued identification number or passport number, if the officer or the director is an individual (not required for an entity)
- Date of Incorporation or Establishment of the Entity (mm/dd/yyyy) The date of incorporation or establishment of the entity, if the officer or the director is an entity (not required for individuals)
- CA Secretary of State ID # The state business identification number from the Secretary of State, if the officer or the director is an entity (not required for individuals)

#### Item 4 (List All Stockholders)

*Individuals:* Complete Printed Name, DOB, and SSN or Driver's License # or State-Issued ID # or Passport #. *Entities:* Complete Printed Name, Date of Incorporation or Establishment of the Entity, and CA Secretary of State ID #. If the stockholder is a **trust**, provide the trustee information.

(Write **N/A** in each row where the column does not apply.)

The sum of stockholders' ownership must total 100%.

- Printed Name The full legal name of the person or entity.
- DOB The stockholder's date of birth if the stockholder is an individual (not required for an entity)
- SSN (last 4) or Driver's License # or State-Issued ID # or Passport # The stockholder's last four digits of their social security number (SSN) or driver's license or state-issued identification number or passport number, if the stockholder is an individual (not required for an entity)
- Date of Incorporation or Establishment of the Entity (mm/dd/yyyy) The stockholder's date of incorporation or establishment of the entity, if the stockholder is an entity (not required for individuals)
- CA Secretary of State ID # The stockholder's state business identification number from the Secretary of State, if the stockholder is an entity (not required for individuals)
- Ownership % The stockholder's ownership percentage.