### APPLICATION FOR NEIGHBORHOOD-RESTRICTED SPECIAL ON-SALE GENERAL LICENSE (TYPE 87)

**APPLICANT INFORMATION:** Complete and submit this form only after thoroughly reviewing form <u>ABC-522 INSTRUCTIONS</u>. Applicants must also submit a certified check, cashier's check, or money order in the amount of the application fee. It is the applicant's responsibility to ensure that their priority application is complete and received by the department within the noticed priority application period. If the applicant is not a sole owner, they must submit the appropriate attachment as described in Item 10 to have a complete application. Any priority application received by the department that is incomplete or untimely shall be disqualified.

The census tracts listed in subdivisions (b)(1) and (b)(6) of the Business and Professions code (BPC) § 23826.13 are currently full, so the department will not be accepting applications for those neighborhoods. Additionally, if the department receives more applications than the number of licenses available for a neighborhood, the applicants will be placed in a random drawing to determine the order in which applications will be processed for that neighborhood.

Applications will be disqualified if items 2-9 are incomplete.

1. Date (mm/dd/yyyy)	
2. <b>Applicant Name</b> (If an individual: first name, middle name, last name. If a general particle corporation, non-profit, limited liability company, or trust: name of entity)	nership, limited partnership,
3. Contact Name (first, last)	
4. Contact Phone Number	
5. Contact e-mail Address	
6. Mailing Address	
Street Number and Name	
City, State, and Zip Code	
FOR ABC USE ONLY	
Pending License Number:	Total Pages:

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# APPLICATION FOR NEIGHBORHOOD-RESTRICTED SPECIAL ON-SALE GENERAL LICENSE (TYPE 87) (continued)

10. Applicant Details (Check the a	ppropriate box)		
$\square$ I am a <b>Sole Owner</b> and will pro	ovide my informati	ion in the line below:	
Name (first, middle, last)		DOB (mm/dd/yyyy)	SSN # (last 4)*
		ormation on the appropriate attachm	, , , ,
□ General Partnership – App	olicant must comp	lete and submit Form ABC-522-ATT	<u>-B</u> .
General Partnership Names	s (separated by co	ommas):	
	·	ete and submit <u>Form ABC-522-ATT-</u>	
Limited Partnership Name:			
☐ Corporation or Non-Profit	<ul> <li>Applicant must</li> </ul>	complete and submit Form ABC-522	<u>2-ATT-D</u> .
Corporation or Non-Profit N	ame:		
☐ Limited Liability Company	<ul> <li>Applicant must</li> </ul>	t complete and submit Form ABC-52	<u>22-ATT-E</u> .
Limited Liability Company N	lame:		
☐ <b>Trusts</b> – Applicant must cor	mplete and submi	t Form ABC-522-ATT-F.	
Trust Name:			
8. Read and Acknowledge items 8	a – 8c by initializi	ng in the provided spaces.	
8a Applicant acknowledg defined) shall be required for par		ng is required for Type 87 licenses, th	hat proof of residency (as
• •	in ownership or in	nterest in any other Type 87 licent enterest in the applicant entity made a 87 licensing process.	
complete and received by ABC v	vithin the noticed peemed disqualifie	the burden for ensuring that their pri priority drawing application period. If d, and they will not be able to partici gulations section 69.2 (c).	f the application is incomplete or
9. Applicant Signature			
I read all of the above and decla	are under penalty	of perjury that all statements are tru	e and correct.
Applicant Signature	Drinto	d Name and Title	Date Executed

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## APPLICATION FOR NEIGHBORHOOD-RESTRICTED SPECIAL ON-SALE GENERAL LICENSE (TYPE 87) (continued)

#### **ITEM INSTRUCTIONS:**

Item 1 (Date) - Input today's date (mm/dd/yyyy).

**Item 2 (Applicant Name)** – Enter the name that would appear on the ABC license. If the applicant is an individual, enter first name, middle name, and last name. If the applicant is a general partnership, limited partnership, corporation, non-profit, limited liability company, or trust, enter the name of the entity.

Item 3 (Contact Person Name) – The first and last name of the person best to provide for clarification and details.

Item 4 (Contact Phone Number) – The phone number of the person best to provide for clarification and details.

Item 5 (Contact e-mail Address) - The e-mail address of the person best to provide for form clarification and details.

**Item 6 (Mailing Address)** – The applicant's full mailing address, including street number and name, city, state, and zip code.

Item 7 (Applicant Details) - Select the appropriate box for the applicant (potential Licensee) type.

If Sole Owner, enter first name, middle name, and last name, date of birth, and last four digits of social security number\* or driver's license # or state-issued ID # or passport #.

If not a Sole Owner, enter the name of the entity and complete the corresponding Attachment. Ensure information is accurate and spelling matches all previously filed correspondence with any other government entity.

- **If Applicant is a sole owner**, enter first name, middle name, and last name, date of birth, and last four digits of social security number\* or driver's license # or state-issued ID # or passport #.
  - o Complete and submit this ABC-522 form only.
- If Applicant is a general partnership, enter name(s).
  - Complete and submit this ABC-522 form.
  - Complete and submit the ABC-522-ATT-B.
- If Applicant is a limited partnership, enter name.
  - Complete and submit this ABC-522 form.
  - Complete and submit the ABC-522-ATT-C.
- If Applicant is a corporation or non-profit, enter name.
  - Complete and submit this ABC-522 form.
  - Complete and submit the ABC-522-ATT-D.
- If Applicant is a limited liability company, enter the name.
  - Complete and submit this ABC-522 form.
  - Complete and submit the ABC-522-ATT-E.
- If Applicant is a trust, enter name.
  - Complete and submit this ABC-522 form.
  - Complete and submit the ABC-522-ATT-F.

Item 8 (Read and Acknowledge) - Read and initial items 8a-8c.

Item 9 (Applicant Signature) - Sign, print name and title, and date.

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## APPLICATION FOR NEIGHBORHOOD-RESTRICTED SPECIAL ON-SALE GENERAL LICENSE (TYPE 87) – CORPORATION OR NON-PROFIT (ATTACHMENT D)

APPLICANT INFORMATION: This form is intended as an attachment to the ABC-522 form. This is not a standalone

priority application. You must complete and submit this attachment along with your completed priority license application				
1. Corporation Name				
2. Corporation CA Secretary of State ID #				

### 3. List All Officers and Directors

Individuals: Complete Printed Name and Title, DOB, and SSN or Driver's License # or State-Issued ID # or Passport #. Entities: Complete Printed Name, Date of Incorporation or Establishment of the Entity, and CA Secretary of State ID #. If the officer or the director is a trust, provide the trustee information.

(Write N/A in each row where the column does not apply.)

Printed Name and Title	DOB (mm/dd/yyyy)	SSN (last 4) or Driver's License # or State-Issued ID # or Passport #	Date of Incorporation <b>or</b> Establishment of the Entity (mm/dd/yyyy)	CA Secretary of State ID #

### 4. List All Stockholders (must total to 100%)

Individuals: Complete Printed Name, DOB, and SSN or Driver's License # or State-Issued ID # or Passport #. Entities: Complete Printed Name, Date of Incorporation or Establishment of the Entity, and CA Secretary of State ID #. If the stockholder is a trust, provide the trustee information.

(Write **N/A** in each row where the column does not apply.)

DOB (mm/dd/yyyy)	SSN (last 4) or Driver's License # or State-Issued ID # or Passport #	Date of Incorporation or Establishment of the Entity (mm/dd/yyyy)	CA Secretary of State ID #	Ownership %

## APPLICATION FOR NEIGHBORHOOD-RESTRICTED SPECIAL ON-SALE GENERAL LICENSE (TYPE 87) – CORPORATION OR NON-PROFIT (ATTACHMENT D) (continued)

#### **ITEM INSTRUCTIONS:**

Item 1 (Corporation Name) - Enter the name of the corporation or non-profit.

**Item 2 (Corporation CA Secretary of State ID #)** – Enter the corporation or non-profit state business identification number from the Secretary of State.

### Item 3 (List All Officers and Directors)

**Individuals:** Complete Printed Name and Title, DOB, and SSN **or** Driver's License # **or** State-Issued ID # **or** Passport #. **Entities:** Complete Printed Name, Date of Incorporation **or** Establishment of the Entity, and CA Secretary of State ID #. If the officer or the director is a **trust**, provide the trustee information.

(Write **N/A** in each row where the column does not apply.)

- Printed Name and Title The full legal name and title of the person or entity
- DOB The date of birth if the officer or the director is an individual (not required for an entity)
- SSN (last 4) or Driver's License # or State-Issued ID # or Passport # The last four digits of their social security number (SSN) or driver's license or state-issued identification number or passport number, if the officer or the director is an individual (not required for an entity)
- Date of Incorporation or Establishment of the Entity (mm/dd/yyyy) The date of incorporation or establishment of
  the entity, if the officer or the director is an entity (not required for individuals)
- CA Secretary of State ID # The state business identification number from the Secretary of State, if the officer or the director is an entity (not required for individuals)

#### Item 4 (List All Stockholders)

Individuals: Complete Printed Name, DOB, and SSN or Driver's License # or State-Issued ID # or Passport #. Entities: Complete Printed Name, Date of Incorporation or Establishment of the Entity, and CA Secretary of State ID #. If the stockholder is a trust, provide the trustee information.

(Write **N/A** in each row where the column does not apply.)

The sum of stockholders' ownership must total 100%.

- Printed Name The full legal name of the person or entity.
- DOB The stockholder's date of birth if the stockholder is an individual (not required for an entity)
- SSN (last 4) **or** Driver's License # **or** State-Issued ID # **or** Passport # The stockholder's last four digits of their social security number (SSN) **or** driver's license **or** state-issued identification number **or** passport number, if the stockholder is an individual (not required for an entity)
- Date of Incorporation or Establishment of the Entity (mm/dd/yyyy) The stockholder's date of incorporation or
  establishment of the entity, if the stockholder is an entity (not required for individuals)
- CA Secretary of State ID # The stockholder's state business identification number from the Secretary of State, if the stockholder is an entity (not required for individuals)
- Ownership % The stockholder's ownership percentage.