PRIORITY LICENSE APPLICATION

APPLICANT INFORMATION: Complete and submit this form only after thoroughly reviewing form <u>ABC-521</u> <u>INSTRUCTIONS</u>. Applicants must also submit a certified check, cashier's check, or money order in the amount of the application fee. It is the applicant's responsibility to ensure that their priority application is complete and received by the department within the noticed priority application period. If the applicant is not a sole owner, they must submit the appropriate attachment as described in Item 10 to have a complete application. Any priority application received by the department that is incomplete or untimely shall be disqualified.

Applications will be disqualified if items 2-12 are incomplete.

1. Date (mm/dd/yyyy)

2. **Applicant Name** (If an individual: first name, middle name, last name. If a general partnership, limited partnership, corporation, non-profit, limited liability company, or trust: name of entity)

3. County where the Business is Located

4. License Transaction

□ Original

□ Intercounty Transfer

5. License Type

- □ On-Sale General (Restaurant, Bar, Club, Brewpub)
- □ Off-Sale General (Store)
- 6. Contact Name (first, last)

7. Contact Phone Number

8. Contact e-mail Address

9. Mailing Address

Street Number and Name

City, State, and Zip Code

FOR ABC USE ONLY

Pending License Number:

PRIORITY LICENSE APPLICATION (continued)

10. Applicant Details (Check the appropriate box)								
\Box I am a Sole Owner and will provide my inform	ation in the line below:							
Name (first, middle, last)	DOB (mm/dd/yyyy)	SSN # (last 4)*						
□ I am NOT a Sole Owner and will provide my ir	nformation on the appropriate attachme	nt for my entity type:						
General Partnership – Applicant must com	plete and submit Form <u>ABC-521-ATT-</u>	<u>B</u> .						
General Partnership Names (separated by c	commas):							
□ Limited Partnership – Applicant must com	plete and submit Form <u>ABC-521-ATT-</u>	<u>C</u> .						
Limited Partnership Name:								
Corporation or Non-Profit – Applicant must	st complete and submit Form <u>ABC-521</u>	<u>-ATT-D</u> .						
Corporation or Non-Profit Name:								
Limited Liability Company – Applicant mu	ust complete and submit Form <u>ABC-52</u>	<u>1-ATT-E</u> .						
Limited Liability Company Name:								
Trusts – Applicant must complete and subm	nit Form <u>ABC-521-ATT-F</u> .							
Trust Name:								

11. **Read and Acknowledge** items 11a – 11c by initializing in the provided spaces.

11a. _____ To participate in a priority drawing, the applicant acknowledges they must be a California resident for at least 90 days before the date of the drawing. Failure to meet this requirement will result in the applicant being disqualified.

11b. _____ Applicant certifies they have no interest in any other priority application for the same type of license ("On-Sale", "Off-Sale") and transaction ("Original", "Intercounty Transfer") in the same county and acknowledges that any changes in ownership or interest in the applicant entity made after the application is submitted may be grounds for disqualification from the priority licensing process.

11c. _____ Applicant acknowledges that they bear the burden for ensuring that their priority drawing application is complete and received by ABC within the noticed priority drawing application period. If the application is incomplete or untimely the application will be deemed disqualified, and they will not be able to participate in the requested priority drawing, pursuant to Title 4 California Code of Regulations section 69.2 (c).

12. Applicant Signature

I read all of the above and declare under penalty of perjury that all statements are true and correct.

Applicant Signature

Printed Name and Title

Date Executed

PRIORITY LICENSE APPLICATION (continued)

ITEM INSTRUCTIONS:

Item 1 (Date) – Input today's date (mm/dd/yyyy).

Item 2 (Applicant Name) – Enter the name that would appear on the ABC license. If the applicant is an individual, enter first name, middle name, and last name. If the applicant is a general partnership, limited partnership, corporation, non-profit, limited liability company, or trust, enter the name of the entity.

Item 3 (County where Business is to be Located) – Input the county in which your license (if obtained) is to be located. Must be a county accepting Intercounty Transfers or Original filings. (Consult list)

Item 4 (License Transaction) – Select either Original OR Intercounty Transfer. Original refers to applying for a new license. Intercounty transfer refers to moving a License already in existence.

Item 5 (License Type) – Select either On-Sale General OR Off-Sale General. On-Sale General is the typical license for a restaurant, bar, club, or brewpub. Off-Sale General is the typical license for stores, markets, and convenience shops.

Item 6 (Contact Person Name) - The first and last name of the person best to provide for clarification and details.

Item 7 (Contact Phone Number) – The phone number of the person best to provide for clarification and details.

Item 8 (Contact e-mail Address) - The e-mail address of the person best to provide for form clarification and details.

Item 9 (Mailing Address) – The applicant's full mailing address, including street number and name, city, state, and zip code.

Item 10 (Applicant Details) – Select the appropriate box for the applicant (potential Licensee) type.

If Sole Owner, enter first name, middle name, and last name, date of birth, and last four digits of social security number* or driver's license # or state-issued ID # or passport #.

If not a Sole Owner, enter the name of the entity and complete the corresponding Attachment. Ensure information is accurate and spelling matches all previously filed correspondence with any other government entity.

- If Applicant is a sole owner, enter first name, middle name, last name, date of birth, and last four digits of social security number* or driver's license # or state-issued ID # or passport #.
 - \circ Complete and submit this ABC-521 form only.
- If Applicant is a general partnership, enter name(s).
 - o Complete and submit this ABC-521 form.
 - Complete and submit the <u>ABC-521-ATT-B</u>.
- If Applicant is a limited partnership, enter name.
 - Complete and submit this ABC-521 form.
 - Complete and submit the ABC-521-ATT-C.
- If Applicant is a corporation or non-profit, enter name.
 - o Complete and submit this ABC-521 form.
 - Complete and submit the ABC-521-ATT-D.
- If Applicant is a limited liability company, enter the name.
 - Complete and submit this ABC-521 form.
 - Complete and submit the <u>ABC-521-ATT-E</u>.
- If Applicant is a trust, enter name.
 - o Complete and submit this ABC-521 form.
 - Complete and submit the <u>ABC-521-ATT-F</u>.

Item 11 (Read and Acknowledge) - Read and initial items 11a-11c.

Item 12 (Applicant Signature) – Sign, print name and title, and date.

PRIORITY LICENSE APPLICATION - CORPORATION OR NON-PROFIT (ATTACHMENT D)

APPLICANT INFORMATION: This form is intended as an attachment to the <u>ABC-521</u> form. This is not a standalone priority application. You must complete and submit this attachment along with your completed priority license application.

1. Corporation Name

2. Corporation CA Secretary of State ID

3. List All Officers and Directors

Individuals: Complete Printed Name and Title, DOB, and SSN or Driver's License # or State-Issued ID # or Passport #. *Entities:* Complete Printed Name, Date of Incorporation or Establishment of the Entity, and CA Secretary of State ID #. If the officer or the director is a **trust**, provide the trustee information.

(Write **N/A** in each row where the column does not apply.)

Printed Name and Title	DOB (mm/dd/yyyy)	SSN (last 4) or Driver's License # or State-Issued ID # or Passport #	Date of Incorporation or Establishment of the Entity (mm/dd/yyyy)	CA Secretary of State ID #

4. List All Stockholders (must total to 100%)

Individuals: Complete Printed Name, DOB, and SSN or Driver's License # or State-Issued ID # or Passport #. *Entities:* Complete Printed Name, Date of Incorporation **or** Establishment of the Entity, and CA Secretary of State ID #. If the stockholder is a **trust**, provide the trustee information.

(Write **N/A** in each row where the column does not apply.)

Stockholder Name	DOB (mm/dd/yyyy)	SSN (last 4) or Driver's License # or State-Issued ID # or Passport #	Date of Incorporation or Establishment of the Entity (mm/dd/yyyy)	CA Secretary of State ID #	Ownership %

PRIORITY LICENSE APPLICATION – CORPORATION OR NON-PROFIT (ATTACHMENT D) (continued)

ITEM INSTRUCTIONS:

Item 1 (Corporation Name) - Enter the name of the corporation or non-profit.

Item 2 (Corporation CA Secretary of State ID #) – Enter the corporation or non-profit state business identification number from the Secretary of State.

Item 3 (List All Officers and Directors)

Individuals: Complete Printed Name and Title, DOB, and SSN or Driver's License # or State-Issued ID # or Passport #. *Entities:* Complete Printed Name, Date of Incorporation or Establishment of the Entity, and CA Secretary of State ID #. If the officer or the director is a **trust**, provide the trustee information.

(Write **N/A** in each row where the column does not apply.)

- Printed Name and Title The full legal name and title of the person or entity
- DOB The date of birth if the officer or the director is an individual (not required for an entity)
- SSN (last 4) or Driver's License # or State-Issued ID # or Passport # The last four digits of their social security number (SSN) or driver's license or state-issued identification number or passport number, if the officer or the director is an individual (not required for an entity)
- Date of Incorporation or Establishment of the Entity (mm/dd/yyyy) The date of incorporation or establishment of the entity, if the officer or the director is an entity (not required for individuals)
- CA Secretary of State ID # The state business identification number from the Secretary of State, if the officer or the director is an entity (not required for individuals)

Item 4 (List All Stockholders)

Individuals: Complete Printed Name, DOB, and SSN or Driver's License # or State-Issued ID # or Passport #. *Entities:* Complete Printed Name, Date of Incorporation or Establishment of the Entity, and CA Secretary of State ID #. If the stockholder is a **trust**, provide the trustee information.

(Write **N/A** in each row where the column does not apply.)

The sum of stockholders' ownership must total 100%.

- Printed Name The full legal name of the person or entity.
- DOB The stockholder's date of birth if the stockholder is an individual (not required for an entity)
- SSN (last 4) or Driver's License # or State-Issued ID # or Passport # The stockholder's last four digits of their social security number (SSN) or driver's license or state-issued identification number or passport number, if the stockholder is an individual (not required for an entity)
- Date of Incorporation **or** Establishment of the Entity (mm/dd/yyyy) The stockholder's date of incorporation **or** establishment of the entity, if the stockholder is an entity (not required for individuals)
- CA Secretary of State ID # The stockholder's state business identification number from the Secretary of State, if the stockholder is an entity (not required for individuals)
- Ownership % The stockholder's ownership percentage.